

**STATEMENT OF PERSONAL HISTORY**
(FOR USE BY NON-BANK LENDERS and
CERTIFIED DEVELOPMENT COMPANIES)

Name of Non-Bank Lender or Certified Development Company

Address (Street, City, State and Zip Code) of Non-Bank Lender or Certified Development Co.

1. Applicant's Name in Full:

First

Middle Name (if none, so state)

Last

2. Date of Birth (month, day & year)

3. Place of Birth (City and State or Foreign Country)

4. Citizen of the United States?

5. Starting with present address, list residence addresses for the last ten years:

From (Date)To (Date)Address

6. Employment and Professional History and Education: Attach a summary of (a) business or professional experience during the last ten years up to and including the present, stating the periods of each primary activity; the names, addresses and nature of business of the concerns or entities with which associated; title/and position in such concerns; and basic functions and responsibilities; (b) summary of your education showing highest level attained (such as high school graduate, bachelor's degree, master's degree, etc.-- giving, when applicable, name of higher educational institution, your specialization, and date of degree); and (c) a summary of any special experience or qualifications pertinent to responsibilities in connection with the operation of the Non-Bank Lender or Certified Development Company.

7. Present Affiliations: Attach a list of all business concerns with which you are presently affiliated as an officer, director, or in any other official capacity, or by way of direct or indirect ownership or control of 10% or more of any class of, stock of, or proprietary interest in, such concerns. Show names, addresses, and nature of business of such concerns, and details of relationships and ownership, including the percentage of any stock or proprietary interest owned.

Yes	No	
		8. Have you ever been, directly or indirectly, the subject of an insolvency, bankruptcy, or creditor's rights proceedings, or has any corporation of which you have been an officer, director, or controlling shareholder been the subject of such proceedings? (If yes, furnish complete details of such proceedings in a separate exhibit, including, if pertinent, the court, title of proceedings, date and docket number, as well as the ultimate disposition.)

		9. Have you ever been charged with, or convicted of, any criminal offense other than a misdemeanor involving minor motor vehicle violations? (If yes, furnish details as described in Item 8.)
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Yes	No	10. Have you, or has any corporation, partnership or other business entity with which you are presently or have heretofore been affiliated (as defined in Item 7, Page 1), ever been charged with or convicted of a felony or other criminal offense involving dishonesty or breach of trust, or found civilly liable or permanently or temporarily enjoined by a court by reason of any act or practice involving fraud or breach of trust? (If yes, furnish relevant details of any such proceedings in a separate exhibit, including the information, indictment or complaint and the court, title of proceedings, date and docket number.)
		11. Have you ever been refused bond? (If yes, explain.)
		12. Are you associated with any other Non-Bank Lender or Certified Development Company? (If yes, explain.)
		13. During any part of the past five years has a request for financial assistance been made to any Federal agency by you or any corporation, partnership or other business entity with which you are presently or have been heretofore affiliated (as defined in Item 7, Page 1)? (If yes, furnish details in a separate exhibit, including current status of any assistance received.)
		14. To your knowledge, is any SBA employee or any member of an Advisory Council for the Small Business Administration related to you by blood, marriage, or adoption and/or associated with you through having any past or present, direct or indirect, financial interest in or affiliation with any concern of which you are a director, officer or owner of 10% or more of any class of its stock or other proprietary interest? (If yes, list their names, addresses, and relations.)
		15. If you own or will own 10% or more of any class of the stock of the Non-Bank Lender, or Certified Development Company, were borrowed funds used in purchasing said stock? (If yes, give full details including your net worth, amount borrowed or to be borrowed, security and/or guarantors and terms of repayment.)
		16. Has any concern with which your are affiliated directly or indirectly borrowed funds from any Non-Bank Lender or Certified Development Company? (If yes, give all pertinent details including the names of all parties to the transaction, the amounts involved, terms, use of proceeds, etc.)

The information on this form will be used in connection with an investigation of your experience and character. It is against SBA policy to provide assistance not in the best interests of the United States, i.e., if there is reason to believe that the effect of such assistance will be to encourage or support, directly or indirectly, activities inimical to the security of the United States.

The nature and scope of the investigation may include contact with banks, other financial institutions, individuals, business associates, law enforcement offices, and any other areas which will assist SBA in making an adequate appraisal of your business reputation, character, management experience and financial soundness. This constitutes the notification required by Section 606 of the Federal Fair Credit Reporting Act.

Certificate: I hereby certify that the foregoing is true and complete to the best of my knowledge and belief.

Signature	Title	Date
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PLEASE NOTE: The estimated burden for completing this form is 15 minutes per response. You are not required to respond to any collection of information unless it displays a currently valid OMB approval number. Comments on the burden should be sent to U.S. Small Business Administration, Chief, AIB, 409 3rd St., S.W., Washington, D.C. 20416 and Desk Officer for the Small Business Administration, Office of Management and Budget, New Executive Office Building, Room 10202, Washington, D.C. 20503. OMB Approval (3245-0080). PLEASE DO NOT SEND FORMS TO OMB.

FOR SBA USE ONLY
No.